V

Toggle SGML Header (+)

Section 1: 3 (FORM 3)



UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Go to...

OMB APPROVAL OMB 3235-Number: 0104 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person [*] Thomas Dan	2. Date of Event Requiring Statement (Month/Day/Year) 08/21/2012	3. Issuer Name and Ticker or Trading Symbol BANK OF THE OZARKS INC [OZRK]					
(Last) (First) (Middle) 17901 CHENAL PARKWAY, P.O. BOX 8811 (Street) LITTLE ROCK, AR 72231- 8811	- 08/21/2012	$\begin{array}{c} Person(s) \text{ to } \\ (Check \\ \hline \\ $	all applicable))wner (specify	 5. If Amendment, Date Origina Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person 		
(City) (State) (Zip)	Table I - N	on-Derivati	ive Securiti	es Beneficially Owned			
1.Title of Security (Instr. 4)	2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1		
Common Stock	7,000 ^(<u>1</u>)		D	Â			
Common Stock	3,200(2)		D	Â			
Common Stock	1,400 ^{(<u>3)</u>}		D	Â			
Common Stock	252		Ι		es held in 401(k) at 1/2012		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect	
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial	

https://s26.q4cdn.com/729473546/files/doc_downloads/sec2/15689858.html

7/24/2021

Document Contents

	(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of	Form of Derivative	Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	10/18/2014	10/18/2018	Common Stock	7,000	\$23.685	D	Â
Employee Stock Option (Right to Buy)	10/19/2013	10/19/2017	Common Stock	3,200	\$18.835	D	Â
Employee Stock Option (Right to Buy)	09/16/2011	09/16/2015	Common Stock	4,000	\$13.535	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Thomas Dan 17901 CHENAL PARKWAY P.O. BOX 8811 LITTLE ROCK, AR 72231-8811	Â	Â	Chief Lending Officer	Â		

Signatures

/s/ Dan Thomas

**Signature of Reporting Person

08/22/2012 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were issued under the Company's Restricted Stock Plan in accordance with Rule 16b-3(d) and are subject to a
- (1) substantial risk of forfeiture until vested. 100% of the shares vest on 10/18/2014, three years after the date of grant, if the individual remains employed with the Company through such date.

These shares were issued under the Company's Restricted Stock Plan in accordance with Rule 16b-3(d) and are subject to a(2) substantial risk of forfeiture until vested. 100% of the shares vest on 10/19/2013, three years after the date of grant, if the individual remains employed with the Company through such date.

These shares were issued under the Company's Restricted Stock Plan in accordance with Rule 16b-3(d) and are subject to a(3) substantial risk of forfeiture until vested. 100% of the shares vest on 10/20/2012, three years after the date of grant, if the individual remains employed with the Company through such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. (Back To Top)