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Toggle SGML Header (+)

Section 1: 4 (FORM 4 SUBMISSION)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | | |
|-------------------|-----------|--|--|--|--|
| OMB Number: | 3235-0287 | | | | |
| Estimated average | e burden | | | | |
| hours per respons | se 0.5 | | | | |

longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Common Stock

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses) | | | | | | | | | | |
|--|---|----------------------------------|--------------------|------------------|---|-------------------------|--|---|---------------------------------|------------|
| 1. Name and Address of Reporting Whipple Ross M | 2. Issuer N BANK OF | | | | - | RK] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X. Director 10% Owner | | | |
| 17901 CHENAL PKWY.,Â | 3. Date of Earl 03/09/2015 | iest Trans | action | (Month/I | Day/Ye | | Officer (give title below) | Other (specify b | pelow) | |
| (Street) LITTLE ROCK, AR 72 | 231-8811 | 4. If Amendme | ent, Date (| Origin | al Filed (M | Ionth/Da | | Individual or Joint/Group Filing E Form filed by One Reporting Person Form filed by More than One Reporting | | able Line) |
| (City) (State) | (Zip) | | Table I | - Nor | ı-Derivati | ive Sec | curities Acquire | uired, Disposed of, or Beneficially Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | Code (Instr. 8) | | 4. Securities Acquired (A) of Disposed of (D) (Instr. 3, 4 and 5) | |) | Beneficially Owned Following Reported Transaction(s) | Ownership of Inc Form: Benef | Beneficial |
| | (Month/Day/Year) (A) or Code V Amount (D) | | Price | (Instr. 3 and 4) | Direct (D) or Indirect (I) (Instr. 4) | Ownership (Instr. 4) | | | | |
| Common Stock | 03/09/2015 | Â | S | Â | 82,400 | D | \$37.2225(1) | 1,375,296 | Ι | By LLLP |
| Common Stock | 03/09/2015 | Â | G | Â | 10,000 | D | \$ 0 | 1,365,296 | Ι | Ву |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

74,386

SEC 1474 (9-02)

D

LLLP

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| - | 1. Title of | 2. | 3. Transaction | 3A. Deemed | 4. | | 5. | | 6. Date Exer | cisable | 7. Tit | le and | 8. Price of | 9. Number of | 10. | 11. Nature |
|---|-------------|-------------|------------------|--------------------|------------|------|---------|-------|--------------|------------|--------|---------|-------------|----------------|-------------|-------------|
| - | Derivative | Conversion | Date | Execution Date, if | Transact | tion | Numb | er | and Expirati | on Date | Amou | ınt of | Derivative | Derivative | Ownership | of Indirect |
| - | Security | or Exercise | (Month/Day/Year) | any | Code | | of | | (Month/Day | /Year) | Unde | rlying | Security | Securities | Form of | Beneficial |
| - | (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) |) | Deriv | ative | | | Secur | ities | (Instr. 5) | Beneficially | Derivative | Ownership |
| - | | Derivative | | | | | Secur | ities | | | (Instr | . 3 and | | Owned | Security: | (Instr. 4) |
| - | | Security | | | | | Acqui | ired | | | 4) | | | Following | Direct (D) | |
| - | | | | | | | (A) or | r | | | | | | Reported | or Indirect | |
| - | | | | | | | Dispo | sed | | | | | | Transaction(s) | (I) | |
| - | | | | | | | of (D) |) | | | | | | (Instr. 4) | (Instr. 4) | |
| - | | | | | | | (Instr. | . 3, | | | | | | | | |
| - | | | | | | | 4, and | 15) | | | | | | | | |
| | | | | | | | | | | | | Amount | | | | |
| - | | | | | | | | | Date | Expiration | | or | | | | |
| - | | | | | | | | | Exercisable | | Title | Number | | | | |
| - | | | | | | | | | Exercisable | Date | | of | | | | |
| | | | | | Code | V | (A) | (D) | | | | Shares | | | | |

Reporting Owners

| Paranting Orong Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | |
| Whipple Ross M | ÂΧ | Â | Â | Â | | |

7/24/2021 **Document Contents**

| 17901 CHENAL PKWY. | | |
|----------------------------|--|--|
| P.O. BOX 8811 | | |
| LITTLE ROCK, AR 72231-8811 | | |

Signatures

| \hat{A} /s/ Ross M. Whipple | 03/11/2015 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). The price reported in Column 4 is a weighted average price. These shares were sold in multiple trades on March 09, 2015 at prices ranging from \$37.20 to \$37.28, inclusive.
- (1) The reporting person hereby undertakes to provide to Bank of the Ozarks, Inc., a shareholder of Bank of the Ozarks, Inc. or the staff of the Securities and Exchange
- Commission, upon request, full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. (Back To Top)