

Reporting Required by the Securities Exchange Act

BANK OZK (FDIC Certificate Number: 110)
LITTLE ROCK, AR

[Accessibility](#)

Form 4 Confirmation Number: EFR33358

Submission Date: 02/03/2023 4:03 PM

Filing Date: 02/03/2023 4:03 PM

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Form 4

Statement of Changes in Beneficial Ownership of Securities
Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FDIC OMB Number: 3094-0030
OTS OMB Number: 1550-0019

Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Issuer Name	Ticker or Trading Symbol	Date of Earliest Transaction Required to be Reported	If Amendment, Date of Original Filing
Bank OZK	OZK	02/02/2023	

Filer Information

Name of Reporting Person	Street Address	City	State	ZIP Code	Relationship of Reporting Person to Issuer
HELEN W BROWN	18000 CANTRELL ROAD	LITTLE ROCK	AR	72223	Officer Description: GENERAL COUNSEL AND CORPORATE SECRETARY

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security	Transaction Date	Deemed Execution Date	Transaction Code	Amount of Securities Acquired or Disposed of	Price of Securities Acquired or Disposed of	Amount of Securities Beneficially Owned Following Reported Transactions	Ownership Form	Nature of Indirect Beneficial Ownership
COMMON STOCK	02/02/2023		M	1,421 Shares (A)	\$31.66	13147 Shares	Direct	
COMMON STOCK	02/02/2023		S	1,421 Shares (D)	\$48.04	11726 Shares	Direct	
COMMON STOCK	02/02/2023		S	708 Shares (D)	\$48.45	11018 Shares	Direct	
COMMON STOCK						5826 Shares	Indirect	SHARES HELD IN 401K AT 2/2/2023

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction Date	Deemed Execution Date	Transaction Code	Number of Derivative Securities Acquired or Disposed of	Date Exercisable	Expiration Date	Title of Underlying Securities	Amount of Underlying Securities	Price of Derivative Security	Number of Derivative Securities Beneficially Owned Following Reported Transactions	Ownership Form of Derivative Securities	Nature of Indirect Beneficial Ownership
EMPLOYEE STOCK OPTION RIGHT TO BUY	\$31.66	02/02/2023		M	1,421 Shares (D)	01/23/2022	01/23/2026	COMMON STOCK	1,421 Shares		0	Direct	

Explanation of Responses

All shares in Table I were sold on February 2, 2023 in multiple trades at prices ranging from \$48.04 to \$48.45 inclusive. The reporting person hereby undertakes to provide to Bank OZK, a shareholder of Bank OZK or the staff of the Federal Deposit Insurance Corporation, upon request, full information regarding the number of shares and prices at which the transaction was effected.

* Signed by: _____ /s/ Helen W. Brown Date: 02/03/2023 ✓

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St. NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5/M3, Washington, DC 20562 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.
* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Exhibit Information

There is no Power of Attorney information

There is no Other information