7/25/2021 Document Contents

Toggle SGML Header (+)

Section 1: 4 (FORM 4)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility continue. See

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Instruction 1(b).
(Print or Type Responses)

| Name and Address of Reporting Person GLEASON LINDA D | 2. Issuer Name and Ticker or Trading Symbol BANK OF THE OZARKS INC [OZRK] | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | |
|--|---|--|------------|------------|---|---------------|--|--|--|------------------------------------|--|
| 17901 CHENAL PARKWAY, P.O | 3. Date of Earliest 02/19/2014 | Transactio | n (Moi | nth/Day/Ye | ear) | | Other (specify be | elow) | | | |
| (Street) LITTLE ROCK, AR 72231-88 | 4. If Amendment, Date Original Filed (Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) (State) | (Zip) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | |
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) | Beneficial Ownership | |
| | | | Code | v | Amount | (A) or (D) | Price | | or Indirect (I) (Instr. 4) | (Instr. 4) | |
| Employee Stock Option (Right to Buy) | 02/19/2014 | Â | M | Â | 12,344 | A | \$18.835 | 3,090,080 | I | Spouse's Dir/Indir Ownership | |
| Common Stock | 02/19/2014 | Â | S | Â | 12,344 | D | \$62.772 | 3,077,736 | I | Spouse's Dir/Indir Ownership | |
| Employee Stock Option (Right to Buy) | 02/21/2014 | Â | М | Â | 10,000 | A | \$18.835 | 3,087,736 | I | Spouse's Dir/Indir Ownership | |
| Common Stock | 02/21/2014 | Â | S | Â | 10,000 | D | \$60.001 | 3,077,736 | I | Spouse's Dir/Indir Ownership | |
| Common Stock | Â | Â | Â | Â | Â | Â | Â | 78,816 | D | Â | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 11. Nature of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 10. Derivative Conversion Date Execution Date, if Transaction Derivative **Expiration Date** of Underlying Derivative Derivative Ownership Indirect Security or Exercise (Month/Day/Year) Code Securities (Month/Day/Year) Securities Security Securities Form of Beneficial any Ownership (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) (Instr. 3 and 4) (Instr. 5) Beneficially Derivative Derivative or Disposed Owned Security: (Instr. 4) of (D) Following Security Direct (D) (Instr. 3, 4, Reported or Indirect Transaction(s) and 5) (Instr. 4) (Instr. 4) Amount Date Expiration Title Number Exercisable Date Code V (A) Shares Employee Stock Spouse's Common \$18.835 02/19/2014 Â Â 12,344 10/19/2013 10/19/2017 12,344 \$18.835 Option M 19,656 I Dir/Indir Stock (Right to Ownership Buy) 10,000 10/19/2013 10/19/2017 10,000 \$18.835 Employee \$18.835 02/21/2014 Â M Common 9,656 I Spouse's Stock Dir/Indir Stock Option Ownership

| (Right to | | | | | | | | l |
|-----------|--|--|--|--|--|--|--|---|
| Buy) | | | | | | | | l |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| GLEASON LINDA D 17901 CHENAL PARKWAY P.O. BOX 8811 LITTLE ROCK, AR 72231-8811 | ÂΧ | Â | Â | Â | | | |

Signatures

| /s/ Linda D. Gleason by Donna Quandt, POA | 02/21/2014 | | |
|---|------------|--|--|
| **Signature of Reporting Person | Date | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. (Back To Top)