Form 4 Confirmation Number: EFR30144

Submission Date:05/05/2020 5:27 PM Filing Date Date:05/05/2020 5:27 PM

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Form 4

Filing Information

There is no Other information

Statement of Changes in Beneficial Ownership of Securities Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091 FDIC OMB Number: 3064-0030 OCC OMB Number: 1557-0106 OTS OMB Number: 1550-0019

| No longer subject to S | Section 16. Form 4 or For | m 5 obligat | ions maycor | ntinue. | | | | | | | | | | |
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| Issuer Name | | | | | Ticker or Trading Symbol | | | | Date of Earliest Transaction Required to be Reported | | | If Amendment, Date of Original Filing | | |
| Bank OZK OZK | | | | | | | 05/04/202 | 05/04/2020 | | | | | | |
| Filer Information | | | | | | | | | | | | | | |
| Name of Reporting Person Street Address | | | | | | | Cit | у | State ZIP Code | | Relationship of Reporting Person to Issuer | | | |
| Kathleen M Franklin 17901 Chenal Parkway | | | | | | Litt | tle Rock | | AR 72223 | | 72223 | Director Description: | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | |
| | | | Transaction Date | Deemed <u>Transaction Code</u> Execution Date | | <u>v</u> | Amount of Securities Acquirities of Disposed of | | Price of Securities Acquired or Disposed of | Amount of Securities Following Report | | Ownership Form Nature of Indirect Beneficial Owners | | eneficial Ownership |
| Common Stock 05/04/2020 | | | | A | | 3,334 Sha | ares (A) | | 7902 Shares | | Direct | | | |
| Table II - Derivative S | Securities Acquired, Dis | posed of, | or Benefici | ally Owned | | | | | | | | | | |
| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | | Deemed Execution Date | Transaction V | Number of Derivative Securi Acquired or Disposed of | | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
| There are no Derivative Securities | | | | | | | | | | | | | | |
| Explanation of Responses | | | | | | | | | | | | | | |
| These shares were issued under the Bank's 2019 Omnibus Equity Incentive Plan in accordance with Rule 16b-3(d) and are subject to a substantial risk of forfeiture until vested. These shares will vest 100% on the earliest to occur of (i) 05/04/2021 or (ii) the day immediately prior to the date of the Bank's first annual meeting of shareholders following the grant date. | | | | | | | | | | | | | | |
| * Signed by:/s/ Helen W. Brown as POA for Kathleen Franklin Date: 05/05/2020 | | | | | | | | | | | | | | |
| The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78I, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing the burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5/M3, Washington, DC 20520 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number. * Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). | | | | | | | | | | | | | | |
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| Exhibit Information | | | | | | | | | | | | | | |
| There is no Power of A | Attorney information | | | | | | | | | | | | | |