## Form 4 Confirmation Number: EFR30143

Submission Date:05/05/2020 5:23 PM Filing Date Date:05/05/2020 5:23 PM

Your filing has been submitted and will be published on the FDIC gov web site under Industry Analysis, Bank Data & Statistics. The confirmation number for your filing is included above and the contents of the filing are included below. Please print a copy of this screen for your records and have the appropriate person(s) sign a paper copy of this filing. When printing, you should select Landscape orientation (not Portrait). To add another filing, click **Submit Another Filing** on the bottom of the screen.

Form 4

There is no Other information

## Statement of Changes in Beneficial Ownership of Securities

Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091 FDIC OMB Number: 3064-0030 OCC OMB Number: 1557-0106 OTS OMB Number: 1550-0019

|  |                                    |                |  |                       |                     |  |  |   |                                 |   | OTS  | OMB Number: 1550-0019                      |
|--|------------------------------------|----------------|--|-----------------------|---------------------|--|--|---|---------------------------------|---|--|--|
| Filing Information   |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| No longer subject to Section 16. Form 4 or Form 5 of   | bligations m                       | aycontinue.    |  |                       |                     |  |  |   |                                 |   |  |  |
| Issuer Name Ticker or Trading Symbol   |                                    |                |  |                       |                     | Date of Earliest Transaction Required to be Reported |  |   |                                 | If Amendment, Date of Original Filing   |  |  |
| Bank OZK OZK   |                                    |                |  |                       |                     | 05/04/202  | 4/2020   |   |                                 |   |  |  |
| Filer Information  |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| Name of Reporting Street Address Person  |                                    |                |  |                       | City                |  | State  |   | ZIP Code                        | Relationship of Reporting Person to Issuer  |  |  |
| Robert C East 17901 Chenal Parkway   |                                    |                |  | Littl                 | le Rock             |  | AR 72223                                       |   | Director Description:           |   |  |  |
| Table I - Non-Derivative Securities Acquired, Disp   | osed of, or                        | r Beneficially | Owned  |                       |                     |  |  |   |                                 |   |  |  |
| Title of Security  | Title of Security Transaction Date |                | <u>Transaction Code</u>                                | Amount of Sector Disp |                     |  | Price of Securities Acquired<br>or Disposed of | Amount of Securities Bene<br>Reported Tra |                                 | Ownership Form  | Nature of Indirect Beneficial Ownership    |  |
| Common Stock   | 05/04/2020                         |                | A  |                       | 3,334 Share         | es (A)   |  | 134546 Shares                             |                                 | Direct  |  |  |
| Common Stock   |                                    |                |  |                       |                     |  |  | 1400 Shares                               |                                 | Indirect  | Shares held by spouse                      |  |
| Table II - Derivative Securities Acquired, Dispose   | d of, or Ber                       | neficially Ow  | ned  |                       |                     |  |  |   |                                 |   |  |  |
| Title of Derivative Conversion or Exercise Price of Security Conversion or Exercise Price of Date Execution Date   |                                    | Transaction V  | Number of Derivative Securi<br>Acquired or Disposed of |                       | Date<br>Exercisable | Expiration<br>Date                                   | Title of Underlying Securities                 | Amount of Underlying<br>Securities        | Price of Derivative<br>Security | Number of Derivative Securities Beneficially Owned<br>Following Reported Transactions | Ownership Form of<br>Derivative Securities | Nature of Indirect<br>Beneficial Ownership |
| There are no Derivative Securities   |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| Explanation of Responses   |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| These shares were issued under the Bank's 2019 Omnibus Equity Incentive Plan in accordance with Rule 16b-3(d) and are subject to a substantial risk of forfeiture until vested. These shares will vest 100% on the earliest to occur of (i) 05/04/2021 or (ii) the day immediately prior to the date of the Bank's first annual meeting of shareholders following the grant date.  |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| * Signed by:/s/ Helen W. Brown as POA for Robert East Date: 05/05/2020 🗸   |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78I, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Manlyn Burdon, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5M3, Washington, DC 20552 (for OTS regulated assigns institutions). The agencies may not conduct or sponsor, and a responsor, and a responsor of the comptroller of the Currency Street, NW, 5M3, Washington, DC 2052 (for OTS required assigns institutions). The agencies may not conduct or sponsor, and a responsor, and a responsor of the Compton of the Counsel, and the contract of the Counsel Regulation, Office of Management and Budget (OMB) control number.  Intentional misstatements or omission of facts constitute Federal Criminal Vio |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
|  |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| Exhibit Information  |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |
| There is no Power of Attorney information  |                                    |                |  |                       |                     |  |  |   |                                 |   |  |  |