

Reporting Required by the Securities Exchange Act

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BANK OZK (FDIC Certificate Number: 110)
LITTLE ROCK, AR

Form 4 Confirmation Number: EFR33288

Submission Date: 01/24/2023 4:16 PM
Filing Date: 01/24/2023 4:16 PM

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Form 4

Statement of Changes in Beneficial Ownership of Securities
Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0081
FDIC OMB Number: 3084-0030
OCC OMB Number: 1657-0106
OTS OMB Number: 1650-0019

| Filing Information | | | | | | | | | | | | | | |
|--|--|--------------------------|-----------------------|------------------|--|---|---|---------------------------------------|---|---|---|--|---|---|
| No longer subject to Section 16. Form 4 or Form 5 obligations may continue. <input type="checkbox"/> | | | | | | | | | | | | | | |
| Issuer Name | | Ticker or Trading Symbol | | | Date of Earliest Transaction Required to be Reported | | | If Amendment, Date of Original Filing | | | | | | |
| Bank OZK | | OZK | | | 01/22/2023 | | | | | | | | | |
| Filer Information | | | | | | | | | | | | | | |
| Name of Reporting Person | | Street Address | | | City | | State | | ZIP Code | Relationship of Reporting Person to Issuer | | | | |
| HELEN W BROWN | | 18000 CANTRELL ROAD | | | LITTLE ROCK | | AR | | 72223 | Officer Description: GENERAL COUNSEL AND CORPORATE SECRETARY | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | |
| Title of Security | Transaction Date | Deemed Execution Date | Transaction Code | Y | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | Ownership Form | Nature of Indirect Beneficial Ownership | | | | | |
| COMMON STOCK | 01/22/2023 | | F | | 2,433 Shares (D) | \$43.13 | 1176 Shares | Direct | | | | | | |
| COMMON STOCK | | | | | | | 5744 Shares | Indirect | Shares held in 401K at 01/19/2023 | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | |
| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | Y | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
| There are no Derivative Securities | | | | | | | | | | | | | | |
| Explanation of Responses | | | | | | | | | | | | | | |
| These shares were withheld by the issuer in order to satisfy certain tax withholding obligations in connection with the vesting and net settlement of previously reported restricted stock awards in accordance with Rule 16b-3(e). | | | | | | | | | | | | | | |
| * Signed by: _____ /s/ Helen W Brown Date: 01/23/2023 ✓ | | | | | | | | | | | | | | |
| <p>The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 16 USC 78l to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 650 17 St. NW, Washington, DC 20420 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20561 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5th, Washington, DC 20562 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.</p> <p>* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).</p> | | | | | | | | | | | | | | |
| Exhibit Information | | | | | | | | | | | | | | |
| Title of Exhibit | Exhibit Text | | | | | | | | | | Holder Name | Signed By | Duration | Date Signed |
| Power of Attorney | I hereby constitute and appoint Tim Hicks, Jillian Yant, Aaron Brooks, or any one of them, as my agent and attorney-in-fact with full powers of substitution and resubstitution, to act in my own name, place and stead, in any and all capacities, for the purpose of executing and filing any and all reports regarding Bank OZK ("Bank") required to be filed by me with the Federal Deposit Insurance Corporation ("FDIC") and any stock exchange or similar authority under Section 16 of the Securities Exchange Act of 1934, as amended, and the rules thereunder as in effect from time to time. This appointment revokes all prior appointments of agent and attorney-in-fact to execute and file reports under Section 16 of the Securities Exchange Act of 1934, as amended. This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file forms with respect to the undersigned's holdings of and transactions in securities issued by the Bank, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact. IN WITNESS WHEREOF, the undersigned has executed this Power of Attorney as of the 20th day of April, 2022. | | | | | | | | | | Tim Hicks, Jillian Yant, Aaron Brooks | Helen Brown | until the undersigned is no longer required | 04/20/2022 |
| There is no Other information | | | | | | | | | | | | | | |