

Reporting Required by the Securities Exchange Act

Accessibility

BANK OZK (FDIC Certificate Number: 110)  
LITTLE ROCK, AR

Form 4 Confirmation Number: EFR32491

Submission Date: 03/11/2022 4:37 PM  
Filing Date: 03/11/2022 4:37 PM

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Form 4

Statement of Changes in Beneficial Ownership of Securities  
Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0081  
FDIC OMB Number: 3094-0030  
OCC OMB Number: 1657-0106  
OTS OMB Number: 1650-0019

Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue.

| Issuer Name | Ticker or Trading Symbol | Date of Earliest Transaction Required to be Reported | If Amendment, Date of Original Filing |
|-------------|--------------------------|--|---------------------------------------|
| Bank OZK    | OZK                      | 03/10/2022   |                                       |

Filer Information

| Name of Reporting Person | Street Address      | City        | State | ZIP Code | Relationship of Reporting Person to Issuer                      |
|--------------------------|---------------------|-------------|-------|----------|---|
| TIMOTHY D HICKS          | 18000 CANTRELL ROAD | LITTLE ROCK | AR    | 72223    | Officer<br>Description: CHIEF CREDIT AND ADMINISTRATIVE OFFICER |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Security | Transaction Date | Deemed Execution Date | Transaction Code | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | Ownership Form | Nature of Indirect Beneficial Ownership |
|-------------------|------------------|-----------------------|------------------|--|---|---|----------------|---|
| COMMON STOCK      | 03/10/2022       |                       | A                | 37,445 Shares (A)                            |   | 64695 Shares  | Direct         |   |
| COMMON STOCK      |                  |                       |                  |  |   | 10 Shares   | Indirect       | Shares held by Child                    |
| COMMON STOCK      |                  |                       |                  |  |   | 9656 Shares   | Indirect       | Shares held in 401K at 03/10/2022       |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
|------------------------------|---|------------------|-----------------------|------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|
|------------------------------|---|------------------|-----------------------|------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|

There are no Derivative Securities

Explanation of Responses

On January 23, 2019, the Reporting Person was granted performance-based Restricted Stock Units ("PSUs") under the 2009 Restricted Stock and Incentive Plan. The PSUs are earned at 0% -200% of the target grant award based on the Issuer's achievement of performance goals during a three-year performance period from January 1, 2019 through December 31, 2021 relative to a peer group and vest on the date the Compensation Committee certifies the level of achievement following the end of the performance period. On March 10, 2022, the Compensation Committee certified the level of achievement of the performance goals ("PSU Vesting Date") under the 2019 grant. The earned and vested PSUs will be settled by delivery of the Issuer's common stock one (1) year after the PSU Vesting Date.

\* Signed by: \_\_\_\_\_/s/ Timothy D Hicks Date: 03/11/2022 ✓

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St. NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5/M3, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.  
\* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Exhibit Information

There is no Power of Attorney information

There is no Other information