

Reporting Required by the Securities Exchange Act

[Accessibility](#)

BANK OZK (FDIC Certificate Number: 110)  
LITTLE ROCK, AR

**Form 4 Confirmation Number: EFR34262**

Submission Date: 03/11/2024 4:11 PM  
Filing Date: 03/11/2024 4:11 PM

Your filing has been submitted and will be published on the FDIC.gov web site under Industry Analysis, Bank Data & Statistics. The confirmation number for your filing is included above and the contents of the filing are included below. Please print a copy of this screen for your records and have the appropriate person(s) sign a paper copy of this filing. When printing, you should select Landscape orientation (not Portrait). To add another filing, click **Submit Another Filing** on the bottom of the screen.

Form 4 - 6800/04

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL
OMB Number: 3064-0030 Expires: 4/30/2026 Estimated average burden hours per response ...0.5

Filing Information			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). <input type="checkbox"/>			
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. <input type="checkbox"/>			
Issuer Name	Ticker or Trading Symbol	Date of Earliest Transaction Required to be Reported	If Amendment, Date of Original Filing
Bank OZK	OZK	03/10/2024	
File Attachments			
File Name	File Submitted By	Submitted Date	
<a href="#">FORM 4 - TIM HICKS.pdf</a>	Katrina Taylor	03/11/2024	
<p><small>**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).</small></p> <p><small>Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 8 for procedure.</small></p> <p><small>Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.</small></p>			

# FORM 4

**FEDERAL DEPOSIT INSURANCE CORPORATION**  
**Washington, D.C. 20429**

OMB APPROVAL
OMB Number: 3064-0030
Expires: 04/30/2026
Estimated average burden hours per response. . . . 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name <b>and</b> Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)	
HICKS	TIMOTHY	D	BANK OZK		OZK	<input type="checkbox"/> Director <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> 10% Owner <input type="checkbox"/> Other (specify below)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction Required to be Reported (Month/Day/Year)		4. If Amendment, Date Original Filed (Month/Day/Year)	
18000 CANTRELL ROAD			03/10/2024		CHIEF FINANCIAL OFFICER	
(Street)						
LITTLE ROCK	AR	72223				
(City)	(State)	(Zip)				
6. Individual or Joint/Group Filing (Check Applicable Line)						
<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person						

**Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction (s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
COMMON STOCK	03/10/2024		A		33,608	A		103,648	D	
COMMON STOCK	03/10/2024		F		18,583	D	\$44.17	85,065	D	
COMMON STOCK								10,336	I	Shares held in 401K at 03/10/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
 ( e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Number of Shares					Amount or

Explanation of Responses: NOTE FOR FIRST TRANSACTION IN TABLE 1: On January 27, 2021, the Reporting Person was granted performance-based Restricted Stock Units (“PSUs”) under the 2019 Omnibus Equity Incentive Plan. The PSUs are earned at 0% - 200% of the target grant award based on the Issuer’s achievement of performance goals during a three-year performance period from January 1, 2021 through December 31, 2023 relative to a peer group and vest on the date the Compensation Committee certifies the level of achievement following the end of the performance period. On March 10, 2024, the Compensation Committee certified the level of achievement of the performance goals (“PSU Vesting Date”) under the 2021 grant. The earned and vested PSUs will be settled by delivery of the Issuer’s common stock one (1) year after the PSU Vesting Date. NOTE FOR SECOND TRANSACTION IN TABLE 1: These shares were withheld by the Issuer in order to satisfy certain tax withholding obligations in connection with the release and net settlement of previously reported 2020 PSU award in accordance with Rule 16b-3(e).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\_\_\_\_\_  
 /s/ Timothy Hicks  
 \*\*Signature of Reporting Person

\_\_\_\_\_  
 03/11/2024  
 Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.