

Reporting Required by the Securities Exchange Act

[Accessibility](#)

BANK OZK (FDIC Certificate Number: 110)
LITTLE ROCK, AR

Form 4 Confirmation Number: EFR30054

Submission Date: 03/13/2020 5:11 PM
Filing Date Date: 03/13/2020 5:11 PM

Your filing has been submitted and will be published on the FDIC.gov web site under Industry Analysis, Bank Data & Statistics. The confirmation number for your filing is included above and the contents of the filing are included below. Please print a copy of this screen for your records and have the appropriate person(s) sign a paper copy of this filing. When printing, you should select Landscape orientation (not Portrait). To add another filing, click **Submit Another Filing** on the bottom of the screen.

Form 4

Statement of Changes in Beneficial Ownership of Securities
Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091
FDIC OMB Number: 3084-0030
OCC OMB Number: 1557-0108
OTS OMB Number: 1550-0019

Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Issuer Name	Ticker or Trading Symbol	Date of Earliest Transaction Required to be Reported	If Amendment, Date of Original Filing
Bank OZK	OZK	03/12/2020	

Filer Information

Name of Reporting Person	Street Address	City	State	ZIP Code	Relationship of Reporting Person to Issuer
Ross Whipple	17901 Chenal Parkway	Little Rock	AR	72223	Director Description:

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security	Transaction Date	Deemed Execution Date	Transaction Code	<input checked="" type="checkbox"/> Amount of Securities Acquired or Disposed of	Price of Securities Acquired or Disposed of	Amount of Securities Beneficially Owned Following Reported Transactions	Ownership Form	Nature of Indirect Beneficial Ownership
Common Stock	03/12/2020		P	20,000 Shares (A)	\$19.48	865000 Shares	Indirect	by LLLP
Common Stock	03/12/2020		P	1,950 Shares (A)	\$19.30	125448 Shares	Direct	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction Date	Deemed Execution Date	Transaction Code	<input checked="" type="checkbox"/> Number of Derivative Securities Acquired or Disposed of	Date Exercisable	Expiration Date	Title of Underlying Securities	Amount of Underlying Securities	Price of Derivative Security	Number of Derivative Securities Beneficially Owned Following Reported Transactions	Ownership Form of Derivative Securities	Nature of Indirect Beneficial Ownership
------------------------------	---	------------------	-----------------------	------------------	---	------------------	-----------------	--------------------------------	---------------------------------	------------------------------	--	---	---

There are no Derivative Securities

Explanation of Responses

All shares in Table I were purchased on March 12, 2020 in multiples trades at prices ranging from \$19.29 to \$19.62 inclusive. The reporting person hereby undertakes to provide to Bank OZK, a shareholder of Bank OZK, or the staff of the Federal Deposit Insurance Corporation, upon request, full information regarding the number of shares and prices of which the transaction was effected.

* Signed by: _____ /s/ Gregory McKinney as POA for Ross Whipple Date: 03/13/2020 ✓

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 280 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 8/M3, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Exhibit Information

There is no Power of Attorney information

There is no Other information