

Form 4 Confirmation Number: EFR28397

Submission Date: 01/25/2019 4:14 PM
Filing Date: 01/25/2019 4:14 PM

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Form 4

Statement of Changes in Beneficial Ownership of Securities

Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091
FDIC OMB Number: 3064-0030
OCC OMB Number: 1557-0106
OTS OMB Number: 1550-0019

| Filing Information | | | | | | | | | | | | | | |
|--|---|----------------------|--------------------------|------------------|---|---|---|---|---------------------------------------|---------------------------------|------------------------------|--|---|---|
| No longer subject to Section 16. Form 4 or Form 5 obligations may continue. <input type="checkbox"/> | | | | | | | | | | | | | | |
| Issuer Name | | | Ticker or Trading Symbol | | | Date of Earliest Transaction Required to be Reported | | | If Amendment, Date of Original Filing | | | | | |
| Bank OZK | | | OZK | | | 01/23/2019 | | | | | | | | |
| Filer Information | | | | | | | | | | | | | | |
| Name of Reporting Person | | Street Address | | | | City | | State | | ZIP Code | | Relationship of Reporting Person to Issuer | | |
| Dennis R James | | 17901 Chenal Parkway | | | | Little Rock | | AR | | 72223 | | Officer Description: EVP, Director of Regulatory and Government Relations | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | |
| Title of Security | | Transaction Date | Deemed Execution Date | Transaction Code | Y | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | | Ownership Form | | Nature of Indirect Beneficial Ownership | | |
| Common Stock | | 01/23/2019 | | A | | 1,658 Shares (A) | | 49063 Shares | | Direct | | | | |
| Common Stock | | | | | | | | 10940 Shares | | Indirect | | Shares held by spouse | | |
| Common Stock | | | | | | | | 10644 Shares | | Indirect | | Shares held in 401K at 01/23/2019 | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | |
| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | Y | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
| Employee Stock Option Right to Buy | \$31.66 | 01/23/2019 | | A | | 1,658 Shares (A) | 01/23/2022 | 01/23/2026 | Common Stock | 1,658 Shares | | 1,658 | Direct | |
| Explanation of Responses | | | | | | | | | | | | | | |
| These shares were issued under the Bank's Restricted Stock and Incentive Plan in accordance with Rule 16b-3(d) and are subject to a substantial risk of forfeiture until vested. 100% of these shares vest on 01/23/2022, three years after the date of grant, if the individual remains employed with the Bank throughout such date. | | | | | | | | | | | | | | |
| * Signed by: _____ /s/ Dennis R James Date: 01/25/2019 ✓ | | | | | | | | | | | | | | |
| <small>The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St. NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5/M3, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number. * Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).</small> | | | | | | | | | | | | | | |